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10962 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

Title of Invention

WATER-DISPERSIBLE, CATIONIC POLYMERS, A
METHOD OF MAKING SAME AND ITEMS USING
SAME

Named Inventor(s)

YIHUA CHANG
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under 37 CFR 1.53(b))

Attorney Docket

11302-1190 (44040-256047) KC #16,529F5

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APPLICATION ELEMENTS

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

ACCOMPANYING APPLICATION PARTS

1. ☐ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims Small Entity status

3. ☒ Specification, Claims,
and Abstract Total Pages **85**

4. ☐ Drawings Total Sheets

5. ☐ Oath or Declaration Total Pages

- a. ☐ Newly executed (original or copy)

- b. ☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16
completed)

The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
5b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated
by reference therein.

- (i) ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting
inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2)
and 1.33(b).

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)

- a. ☐ Computer Readable Copy

- b. ☐ Paper Copy (identical to computer copy)

- c. ☐ Statement verifying identity of
above copies

8. ☐ Assignment:

- a. ☐ Assignment Papers (cover sheet &
document(s))

- b. ☐ Assignment is of record in parent
application No. _____

9. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)

- ☐ Power of Attorney by assignee

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS) PTO-
1449

- ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. ☐ Certified Copy of Priority Document(s)

15. ☐ Other: _____

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____

Recite complete dependency back to first parent application:

17. CORRESPONDENCE ADDRESS:

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